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UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for nonprovisional applications under 37 CFR 1.53(b)

> A31200-A - 070165.0467 Attorney Docket No.

First Named Inventor Stuhlmann et al.

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January 17, 2002

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Box: Patent Application

Assistant Commissioner for Patents Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Heidi Stuhlmann, Jing-Wei Xiong and Mark B. Taubman entitled "VASCULAR ENDOTHELIAL ZINC FINGER 1 GENE AND PROTEIN AND USES THEREOF" which includes:

| [X] Specification | | 70 Total Pages |
|--|---------------|-----------------|
| [X] Introduction; Background of the Invention; | | |
| Summary of the Invention; Description of the | | |
| Figures; Detailed Description of the Invention; | Examples | <u>46</u> pages |
| [X] Claims | <u>3</u> page | es |
| [X] Abstract | <u>1</u> page | e |
| [X] Drawings Figs. 1-18 | <u>20</u> sh | eets |
| [] formal | | |
| [X] informal | | |
| [X] Combined Declaration and Power of Attorney [] Newly executed (original or copy) | pages | |
| Li Tienti ditanta (angliana anglia) | 00 61 134 | 22 1000 |

Copy from a prior application 09/083,290 filed May 22, 1998 [X] If a continuing application, check appropriate box: [X] Continuation [] Divisional [] Continuation-In-Part of prior continued prosecution application (CPA) filed July 5, 2001 of application Serial No. 09/083,290 filed May 22, 1998.

| [X] Amend the specification by inserting, before the first line, the fol "This is a [X] continuation [] divisional [] continuation of copending continued prosecution application (CPA) filed July application No. 09/083,290 filed May 22, 1998. | in-part | | | | |
|---|------------------------------|--|--|--|--|
| X] The entire disclosures of the prior applications are considered as being part of the disclosure of the accompanying application and are hereby incorporated by reference therein. | | | | | |
| [X] An Assignment of the invention to Mount Sinai School of Medic City University of New York [] is attached. A separate cover sheet in compliance with 37 CFI [] will follow. [X] has been filed in the prior application and recorded at Reel 1998. | R 3.28 and 3.31 is included. | | | | |
| [X] Small Entity Statement(s)[] is/are attached.[X] filed in prior application. Status still proper and desired.[] will follow. | | | | | |
| [X] Information Disclosure Statement [X] PTO Form 1449 [] Copies of IDS Citations are enclosed. [] Copies of the remaining IDS Citations are not required. | 2 pages 4 pages | | | | |
| Also accompanying this patent application are: [] Application Data Sheet [] CD-ROM or CD-R in duplicate, large table or Computer Program [] Nucleotide and/or Amino Acid Sequence Submission [X] Letter Requesting Transfer of Computer Readable Sequence Lis [X] Preliminary Amendment [X] Copy of Notice of Appeal filed January 17, 2002 [] Non-Publication Request [X]Return Receipt Postcard [] Other | | | | | |

[X] The filing fee has been calculated as shown below after canceling claims 7-25 in the accompanying Preliminary Amendment:

| FOR | (Col. 1) | | (Col. 2) | Small Entity | | | | Other Than A <u>Small Entity</u> | | | |
|----------------|-------------|-----|----------|--------------|---|-------|------------|----------------------------------|---|-------|---------------|
| <u>FOR</u> | No.Filed | Ĺ | | No. Extra |] | Rate | <u>Fee</u> | OR | | Rate | <u>Fee</u> |
| Basic Fee | | | | | | | \$370.00 |) | | | \$740.00 |
| Total Claims | 6 | -20 | = | 0 | x | 9 = | \$0.00 |) | > | 18 = | \$0.00 |
| Ind. Claims | 3 | -3 | = | 0 | x | 42 = | \$0.00 |) | > | 84 = | \$0.00 |
| Multiple Deper | ndent Clain | n | | | + | 140 = | | | - | 280 = | \$0.00 |
| | | | | | 7 | Total | \$370.00 | <u> </u> | | | <u>\$0.00</u> |

^{*} If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

| Fee: [X] Enclo | sed | | | | |
|----------------|----------|--|----------------------------|------------|--------|
| | X] | Basic filing fee | | \$3 | 370.00 |
| [|] | Recording Assignment [\$40.00; 37 CFR 1.21(h)] | | | \$0.00 |
| [X] | | | Total Fees Enclosed | <u>\$3</u> | 370.00 |
| [X] A che | eck in t | he amount of <u>\$370</u> to cove | er filing fee is enclosed. | | |

[] Please charge our Deposit Account No. 02.4377 in the amount of \$.00. Two copies of this sheet

Priority:

- [X] Priority is claimed of application Serial No. <u>09/083,290</u> filed <u>May 22, 1998</u>. [] Certified Copy of Priority Document(s) Country _, Appln No. _, filed _.
 - [] has been filed in the parent application S/N ______
 - is/are attached.
 - [] will follow.

are enclosed.

- [X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.
- [X] The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.
- [X] The Commissioner is conditionally authorized to charge payment of any fees associated with this application or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

Respectfully submitted,

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stuhlmann et al.

Serial No. : to be assigned

Filed: January 17, 2002

For : VASCULAR ENDOTHELIAL ZINC FINGER 1

GENE AND PROTEIN AND USES THEREOF

LETTER REQUESTING TRANSFER OF COMPUTER READABLE SEQUENCE LISTING

VIA EXPRESS MAIL NO.: EF377398715US

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. § 1.821(e), Applicants respectfully request to rely in the instant application upon the last filed paper and last filed computer readable copy form of the Sequence Listing from parent application Serial No. 09/083,290 filed May 22, 1998. It is understood that the Patent and Trademark Office will make the necessary change in the application number and filing date for the instant application.

A paper copy of the Sequence Listing is included in the accompanying continuation application as it was originally filed in parent application Serial No. 09/083,290.

Please charge any fees which may be due with this submission to Deposit

Account No. 02-4377. Two copies of this communication are enclosed.

Respectfully submitted,

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